

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Dkt. No:	5681-06700
Client Ref. No.:	P6950
Inventor(s):	John M. Morrison
Serial No.:	10/057,496
Filing Date:	January 25, 2002
Examiner:	Unknown
Group Art Unit:	2651
Title:	FAULT TOLERANCE MECHANISM FOR A STORAGE DEVICE THAT STORES DATA IN STACKS WITHIN AN INTEGRAL STORAGE MEDIUM

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6-19-02

CERTIFICATE OF FIRST CLASS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on the date indicated below.

Robert C. Kowert
Name of Registered Representative

May 15 2002 *[Signature]*
Date Signature

**POWER OF ATTORNEY BY ASSIGNEE
AND REVOCATION OF PREVIOUS POWERS**

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Commissioner for Patents
Washington, D.C. 20231

JUN 03 2002

Technology Center 2600

Dear Sir/Madam:

SUN MICROSYSTEMS, INC., ("assignee"), a Delaware corporation having a place of business at 901 San Antonio Road, Palo Alto, California 94303, certifies that to the best of assignee's knowledge and belief it is the assignee of the entire right, title, and interest in and to the above-referenced patent and represents that the undersigned is a representative authorized and empowered to sign on behalf of the assignee.

Assignee has reviewed the assignment documents that evidence the placement of title in the assignee, true and correct copies of which are attached hereto, and understands and believes that these assignment documents have been submitted for recordation in the U.S. Patent and Trademark Office.

Pursuant to 37 C.F.R. §1.36 and 3.71, the assignee hereby revokes all powers of attorney previously given and appoints

Kenneth Olsen	Reg. No. 26,493
Timothy J. Crean	Reg. No. 37,116
Alexander E. Silverman	Reg. No. 37,940
Anirma R. Gupta	Reg. No. 38,275
Sean P. Lewis	Reg. No. 42,798
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Noreen A. Krall	Reg. No. 39,734
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Monica D. Lee	Reg. No. 40,696
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Elaine K. Lee	Reg. No. 41,936
Hugh H. Matsubayashi	Reg. No. 43,779
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Andrew C. Chen	Reg. No. 43,544

Jeffrey L. Myers

Reg. No. 44,252



each of said attorneys being employed by Sun Microsystems; and

Mark K. Brightwell	Reg. No. 47,446
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Steve J. Curran	Reg. No. 50,664
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each of said attorneys or agents being a member or an associate of the firm of Conley, Rose & Tayon, P.C., as attorney or agent for so long as they remain with such company or firm, with full power of substitution and revocation, to prosecute the application, to make alterations and amendments therein, to transact all business in the Patent and Trademark Office in connection therewith, and to receive the Letters Patent.

Pursuant to 37 C.F.R. §3.71, the assignee hereby states that prosecution of the above-referenced patent application is to be conducted to the exclusion of the inventor(s).


Send all future correspondence to:

Robert C. Kowert
CONLEY, ROSE & TAYON, P.C.
P.O. Box 398
Austin, Texas 78767-0398
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Assignee of Interest:

SUN MICROSYSTEMS, INC.
M/S PAL 01-521
901 San Antonio Road
Palo Alto, CA 94303

Dated: May 8, 2002

By: 
Name: Kenneth Olsen
Reg. No.: 26,493
Title: Vice President, Intellectual Property



UNITED STATES
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5681-06760

APRIL 04, 2002

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Chief Information Officer
Washington, DC 20231
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UNITED STATES PATENT AND TRADEMARK OFFICE
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/25/2002

REEL/FRAME: 012547/0575
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MORRISON, JOHN M.

DOC DATE: 01/18/2002

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ASSIGNEE:

SUN MICROSYSTEMS, INC.
901 SAN ANTONIO ROAD
PALO ALTO, CALIFORNIA 94303

JUN 03 2002

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SERIAL NUMBER: 10057496
PATENT NUMBER:

FILING DATE: 01/25/2002
ISSUE DATE:

JOANN STEWART, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

02-08-2002



ER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

P6950

To the Honorable Commissioner of Patent

all documents or copy thereof.

1. Name of conveying party(ies):

John M. Morrison

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Sun Microsystems, Inc.

Internal Address: _____

Street Address: 901 San Antonio Road

City Palo Alto State CA ZIP 94303

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other _____

Execution Date: January 18, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of this application is: January 18, 2002

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert C. Kowert

Internal Address: Conley, Rose & Tayon, P.C.

Street Address: P.O. Box 398

City Austin State TX ZIP 78767-0398

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: 501505/5681-06700/RCK
(Attach a duplicate copy of this page if paying by deposit account)

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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and the attached copy is a true copy of the original document.

Robert C. Kowert
Name of Person Signing
Reg. No. 39.255

[Signature]
Signature

January 25, 2002
Date

Total number of pages: 3

OMB No. 0651-011 (exp.4/94)

02/07/2002 GTON11 00000093 501505 10057496

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10/057496
01/25/02

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ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, does hereby:

SELL, ASSIGN AND TRANSFER to SUN MICROSYSTEMS, INC. (the "Assignee"), a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having its principal place of business at 901 San Antonio Road, Palo Alto, California 94303 their entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith, and is entitled, **FAULT TOLERANCE MECHANISM FOR A STORAGE DEVICE THAT STORES DATA IN STACKS WITHIN AN INTEGRAL STORAGE MEDIUM**, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

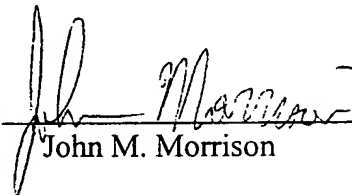
AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT that, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for securing, maintaining and enforcing proper patent protection for such improvements and for vesting title to such improvements in the Assignee;

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P6950

COVENANT AND AGREE that the above is and will be binding on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

(Signature)  Date: 1-18-02
Name: John M. Morrison

Robert C. Kowert
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